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Welcome to our Feedback Platform!

Our feedback platform allows parties and counsel to complete an online survey or participate in a call to share their feedback about arbitrators.

Arbitrator Intelligence collects feedback independently, globally, and systematically. It then makes that feedback available in easy-to-use Reports.

The more detailed your feedback, the more helpful. However, most questions are optional. We count on your integrity and best professional judgment in answering questions.

The entire process should not take longer than 15 minutes!

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- The identity of individuals who provide feedback is never revealed to arbitrators or anyone else outside of Arbitrator Intelligence.
- Arbitrator Intelligence reviews all feedback to ensure quality control and that feedback is consistent with its editorial policies.
- Arbitrators are invited to review and are able to object to their Reports.

If you need technical assistance, please contact us at info@arbitratorintelligence.com

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REGISTRATION: Please provide below your name and professional email address. This information is provided for quality control purposes only. Your identity will never be revealed, either to arbitrators or anyone else outside of Arbitrator Intelligence, or associated with your responses.

LAST NAME

FIRST NAME

PROFESSIONAL EMAIL ADDRESS

CONSENT & ACKNOWLEDGEMENTS

I acknowledge that I am not an arbitrator in, and/or an arbitral secretary in, and/or a representative of the arbitration that administered the arbitration for which I am submitting this feedback.

Check to acknowledge

I certify that the information submitted by me is accurate to the best of my knowledge, made in good faith, and based on my professional judgment

Check to certify

To the best of my ability, I will notify Arbitrator Intelligence of any error(s) or inadvertent submission(s) contained in my feedback and follow any procedures established by Arbitrator Intelligence for submitting corrections.

Check to confirm

I have read and agree to the [Terms and Conditions](#), [Privacy Policy](#), and [Cookie Policy](#) of Arbitrator Intelligence.

Check to consent

Are you or your employer a Member of Arbitrator Intelligence?

- Yes. Please provide your Member number so the Member account can be credited for providing this feedback

- No
- I don't know

Would you (or your employer) like to receive our Newsletter or become a Member of Arbitrator Intelligence (to receive free Reports, special Member discounts, and other benefits)?

- Yes, please send me information about Membership. Or, to sign up directly, visit our [website](#)
- Yes. Please subscribe me to the newsletter

BACKGROUND OF CONFIDENTIAL RESPONDER

Which party to the arbitration are you associated with?

- Claimant
- Respondent
- Intervenor
- Other (please specify):

What is your association with that party?

- Outside or external lawyer
- Principal or manager
- In-house lawyer
- Government lawyer
- Third-party funder, insurer, or guarantor
- Other (please specify):

BACKGROUND OF THE DISPUTE

If, **and only if**, the award or details of this case have become publicly available, please provide the name of the case and/or an indication of where additional case details can be found:

If it is not subject to any confidentiality obligations (imposed by party agreement or applicable law), we invite you to provide a copy of the award (redacted or unredacted):*

* By uploading an award, you are certifying that the attached document is authentic and its submission does not knowingly violate any confidentiality obligations or applicable law.

Please indicate the nature of the arbitration:

- Investor-State
- Commercial
- Maritime
- Sports
- Reinsurance
- Public international law/State-to-State
- Other

Does the arbitration include a State or a State-owned entity as a party?

- Yes
- No

Please indicate the industry, economic sector, or nature of the dispute (please select all that apply):

- Banking and finance
- Construction
- Corporate transactions
- Energy (other than oil & gas)
- Information services
- Insurance
- Intellectual property
- Mining
- Manufacturing
- Oil and gas

- Real estate
- Shipping and transportation
- Sports
- Telecommunications
- Trade in goods
- Other (please specify):

Did a third-party funder participate in the arbitration (***please note Arbitrator Intelligence does not inquire about the identity of any funder?***)?

- Yes
- No
- Unknown or uncertain

When and how did the participation of a funder become known to the tribunal and participants in the arbitration (other than the funded party)?

- It was disclosed when the Request for or Notice of Arbitration was filed
- Upon request by arbitrators as part of the disclosure process in constituting the tribunal
- Upon order initiated by the tribunal
- Upon request by the parties (without an order by the tribunal)
- Upon an order by the tribunal (in response to a request by the parties)
- From publicly available sources
- Other (please specify):

Was the arbitration administered (please identify the arbitral institution)?

Please specify the arbitral institution:

Which arbitral rules governed the arbitration?

Please specify the governing arbitral rules:

Did the tribunal expressly rely on an established body of rules, guidelines, or other soft law?
Please select all that apply.

- IBA Rules on the Taking of Evidence in International Arbitration
- The Prague Rules
- IBA Guidelines on Conflicts of Interest in International Arbitration
- IBA Guidelines on Party Representation in International Arbitration

CIArb Protocols or Guidelines (please specify):

Spanish Arbitration Club Best Practices

Other:

What substantive law(s) applied to the dispute at issue in the arbitration? Please indicate all applicable laws

Substantive law expressly chosen by the parties (if any)

Other substantive law

Other applicable substantive law

Other applicable substantive law

Please indicate the *legal seat* (sometimes called the "place of arbitration" or where the award was "made"). Do not indicate the physical location of hearings, if different from the legal seat.

City

If the arbitration had a legal seat (i.e., a non-ICSID case), please indicate the city:

City

Please indicate the language(s) of arbitration. If the arbitration was conducted in more than one language, please check all that apply.

Arabic

Chinese

English

French

German

Italian

Japanese

Korean

Portuguese

Russian

Spanish

Ukrainian

Other

CONSTITUTION OF THE TRIBUNAL

How many arbitrators were on the tribunal?

- 1
- 2
- 3
- Other (please indicate)

Please provide the full name (LAST NAME, First Name, Middle Initial) of the sole arbitrator:

Please specify whether the sole arbitrator was selected by:

- Party agreement
- Arbitral institution or appointing authority
- List method
- National court

Please provide the full name (LAST NAME, First Name, Middle Initial) of the presiding arbitrator and indicate how the arbitrator was selected.

	Presiding arbitrator	Appointed by:				
	Name	Party agreement	Co-arbitrators	Arbitral institution, appointing authority	List method	National court
Presiding arbitrator	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Please provide the full names (LAST NAME, First Name, Middle Initial) of the co-arbitrators and indicate how each arbitrator was selected.

	Arbitrators		Appointed by:			
	Name	Claimant	Respondent	Arbitral institution, appointing authority	List method	National court
Co-arbitrator	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Co-arbitrator	<input type="text"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

What sources were most useful or important to you and/or your client in selecting the tribunal chairperson? Please select all that apply.

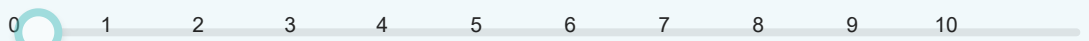
- Arbitrator website and CV
- Information from past awards
- Information from arbitrator's scholarly or professional publications
- Direct feedback from colleagues within your firm
- Direct feedback from colleagues outside your firm
- Past appearance before the arbitrator
- Past professional experience with the arbitrator
- Data analytics developed in-house
- Data analytics from an external source

Other (please explain)

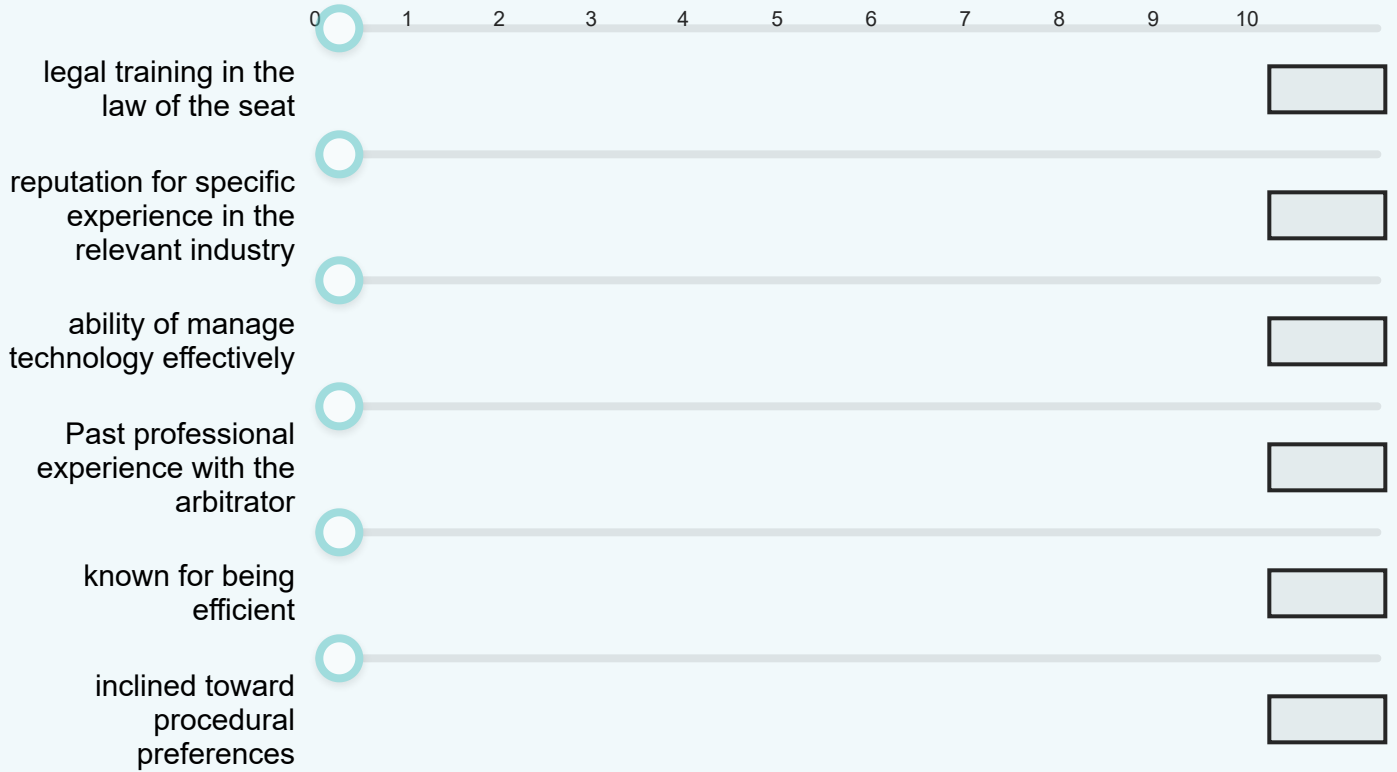
What sources were most useful or important to you and/or your client in selecting your side's party-appointed arbitrator? Please select all that apply.

- Arbitrator website and CV
- Information from past awards
- Information from arbitrator's scholarly or professional publications
- Direct feedback from colleagues within your firm
- Direct feedback from colleagues outside your firm
- Past appearance before the arbitrator
- Past professional experience with the arbitrator
- Data analytics developed in-house
- Data analytics from an external source
- Other (please explain)

Please rate the importance of the following criteria when proposing the sole arbitrator, with 1 being not very important and 10 being extremely important. If you do not believe a particular criterion is relevant at all, please leave the slider at 0.



previous experience
as a sole arbitrator



Please rate the importance of the following criteria when proposing a chairperson, with 1 being not very important and 10 being extremely important. If you do not believe a particular criterion is relevant at all, please leave the slider at 0.





Any other comments about the process of selecting arbitrators or constituting the tribunal

Approximately how long did it take to constitute the tribunal?

- 1 month or less
- 2-3 months
- 4-8 months
- 8-11 months
- 1 year
- 18 months or less
- more than 18 months

COMMENCEMENT OF THE ARBITRATION

Please indicate the Date of filing the Request for or Notice of Arbitration.

	Day	Month	Year
Please Select:	<input type="text"/>	<input type="text"/>	<input type="text"/>

Approximately how long **AFTER THE TRIBUNAL WAS CONSTITUTED** did the tribunal initiate proceedings, for example by issuing its first procedural order or instructions for completing of the terms of reference?

- Within 30 days
- After 30 days but before 60 days
- After 60 days but before 90 days
- After 90 days but before 120 days
- More than 120 days

Please indicate in US dollars the approximate amount, **excluding costs and fees**, of any monetary relief **originally requested** by the Claimant:

- Less than US\$1 million
- More than US\$1 million but less than \$10 million
- More than US\$10 million but less than \$50 million
- More than US\$ 50 million but less than \$500
- More than \$500 million but less than \$1billion
- More than \$1 billion but less than \$5 billion
- More \$5 billion

Please indicate any non-monetary relief requested by Claimant

Did the Claimant **amend** its request for relief to either increase or decrease the amount **originally requested**?

No change

Yes, Claimant increased the amount requested; the amended request for relief was (please estimate in US dollars)

No, Claimant decreased the amount requested; the amended request for relief was (please estimate in US dollars)

Did the Respondent assert any **counterclaims** seeking **affirmative relief** (as opposed to asserting defenses to claims)?

Yes

No

Did the State Respondent assert counterclaims?

No, the State did not assert any counterclaims

Yes, but the tribunal declined jurisdiction over the asserted counterclaims

Yes, and the tribunal accepted jurisdiction over the asserted counterclaims

Please indicate in US dollars the approximate amount, excluding costs and fees, of any monetary relief originally requested by the Respondent:

- Less than US\$1 million
- More than US\$1 million but less than \$10 million
- More than US\$10 million but less than \$50 million
- More than US\$ 50 million but less than \$500
- More than \$500 million but less than \$1billion
- More than \$1 billion but less than 5 billion
- More than 5 billion
- Please indicate any non-monetary relief

Did the Respondent **amend** its request for relief on its counterclaims to either increase or decrease the amount **originally requested**?

- No change
- Yes, Respondent increased the amount requested; the amended request for relief was (please estimate in US dollars)

- Yes, Respondent decreased the amount requested; the amended request for relief was (please estimate in US dollars)

How was the arbitration resolved?

- Final award
- Partial or interim award, followed by final award
- Settlement
- "Consent award" (an award that embodies settlement terms agree to by the parties)
- Voluntary withdrawal of claims
- Dismissal by arbitral institution
- Other (please specify):

At what point did the case settle?

Before the initial procedural order

After the initial procedural order and before an award on jurisdiction

After an award on jurisdiction

After the merits hearing (and award on jurisdiction)

After the merits hearing (with no award on jurisdiction)

At what point were the claims voluntarily withdrawn?

Before the initial procedural order

After the initial procedural order and before an award on jurisdiction

After an award on jurisdiction

After the merits hearing (and award on jurisdiction)

After the merits hearing (with no award on jurisdiction)

At what point was the case dismissed by the institution?

Before the initial procedural order

After the initial procedural order and before an award on jurisdiction

After an award on jurisdiction

After the merits hearing (and award on jurisdiction)

After the merits hearing (with no award on jurisdiction)

CHALLENGES TO ARBITRATORS

Was the Sole Arbitrator challenged?

- Yes
- No

The challenge to the Sole Arbitrator was based on which of the following (please select all that apply)?

- Initial disclosures during the selection and appointment process
- Subsequent disclosures during the proceedings based on new developments or newly discovered facts
- Facts discovered independently by the parties
- Circumstances that arose in the arbitral proceedings
- Other (please specify):

Which of the following statements best describes the outcome of the challenge to the Sole Arbitrator?

- The challenge was rejected as insufficient to warrant removal or disqualification, and the objecting party subsequently waived any future objection
- The challenge was rejected as insufficient to warrant removal or disqualification, and the objecting party preserved its objection

Was the Sole Arbitrator a replacement for a previously appointed arbitrator?

- Yes
- No

At what point was the Sole Arbitrator appointed to replace an arbitrator on the original tribunal?

- Before the initial procedural order
- After the initial procedural order
- After an award on jurisdiction
- After the merits hearing

Approximately how long did the challenge process take?

- 1 month
- 2-3 months
- 4-8 months
- 8-11 months
- 1 year
- 18 months or less
- more than 18 months

CHALLENGES TO ARBITRATORS

Were any arbitrators who signed the final award challenged based on alleged conflicts of interest or other alleged misconduct (please select all that apply)?

- No, none of the arbitrators was challenged
- Yes, the Co-Arbitrator 1 was challenged
- Yes, the Co-Arbitrator 2 was challenged

The challenge to the Co-Arbitrator 1 was based on which of the following (please select all that apply)?

- Initial disclosures during the selection and appointment process
- Subsequent disclosures during the proceedings based on new developments or newly discovered facts
- Facts discovered independently by the parties
- Circumstances that arose in the arbitral proceedings
- Other (please specify):

The challenge to the Co-Arbitrator 2 was based on which of the following (please select all that apply)?

- Initial disclosures during the selection and appointment process
- Subsequent disclosures during the proceedings based on new developments or newly discovered facts
- Facts discovered independently by the parties
- Circumstances that arose in the arbitral proceedings
- Other (please specify):

Which of the following statements best describes the outcome of the challenge to the Co-Arbitrator 1?

- The challenge was rejected as insufficient to warrant removal or disqualification, and the objecting party subsequently waived any future objection
- The challenge was rejected as insufficient to warrant removal or disqualification, and the objecting party preserved its objection

Which of the following statements best describes the outcome of the challenge to the Co-Arbitrator 2?

- The challenge was rejected as insufficient to warrant removal or disqualification, and the objecting party subsequently waived any future objection
- The challenge was rejected as insufficient to warrant removal or disqualification, and the objecting party preserved its objection

Were any of the arbitrators who signed the award a replacement for a previously appointed arbitrator (select all that apply)?

- No, none of the arbitrators replaced a previously appointed arbitrator
- Co-Arbitrator 1
- Co-Arbitrator 2

At what point was Co-Arbitrator 1 appointed to replace an arbitrator on the original tribunal?

- Before the initial procedural order After the initial procedural order After an award on jurisdiction or admissibility After the merits hearing

At what point was Co-Arbtrator 2 appointed to replace an arbitrator on the original tribunal?

Before the initial procedural order After the initial procedural order After an award on jurisdiction or admissibility After the merits hearing

Approximately how long did the challenge process take?

- 1 month
- 2-3 months
- 4-8 months
- 8-11 months
- 1 year
- 18 months or less
- more than 18 months

CHALLENGES TO ARBITRATORS

Were any arbitrators who signed the final award challenged based on alleged conflicts of interest or other alleged misconduct (please select all that apply)?

- No, none of the arbitrators was challenged
- Yes, Presiding Arbitrator was challenged
- Yes, Co-Arbitrator 1 was challenged
- Yes, Co-Arbitrator 2 was challenged

The challenge to the Presiding Arbitrator was based on which of the following (please select all that apply)?

- Initial disclosures during the selection and appointment process
- Subsequent disclosures during the proceedings based on new developments or newly discovered facts
- Facts discovered independently by the parties
- Circumstances that arose in the arbitral proceedings
- Other (please specify):

The challenge to Co-Arbitrator 1 was based on which of the following (please select all that apply)?

- Initial disclosures during the selection and appointment process
- Subsequent disclosures during the proceedings based on new developments or newly discovered facts
- Facts discovered independently by the parties
- Circumstances that arose in the arbitral proceedings
- Other (please specify):

The challenge to Co-Arbitrator 2 was based on which of the following (please select all that apply)?

- Initial disclosures during the selection and appointment process
- Subsequent disclosures during the proceedings based on new developments or newly discovered facts
- Facts discovered independently by the parties
- Circumstances that arose in the arbitral proceedings

Other (please specify):

Which of the following statements best describes the outcome of the challenge to the Presiding Arbitrator?

- The challenge was rejected as insufficient to warrant removal or disqualification, and the objecting party subsequently waived any future objection
- The challenge was rejected as insufficient to warrant removal or disqualification, and the objecting party preserved its objection

Which of the following statements best describes the outcome of the challenge to Co-Arbitrator 1?

- The challenge was rejected as insufficient to warrant removal or disqualification, and the objecting party subsequently waived any future objection
- The challenge was rejected as insufficient to warrant removal or disqualification, and the objecting party preserved its objection

Which of the following statements best describes the outcome of the challenge to Co-Arbitrator 2?

- The challenge was rejected as insufficient to warrant removal or disqualification, and the objecting party subsequently waived any future objection
- The challenge was rejected as insufficient to warrant removal or disqualification, and the objecting party preserved its objection

Were any of the arbitrators who signed the award a replacement for a previously appointed arbitrator (please select all that apply)?

- No, none of the arbitrators replaced a previously appointed arbitrator
- Presiding Arbitrator
- Co-Arbitrator 1
- Co-Arbitrator 2

At what point was the Presiding Arbitrator appointed to replace an arbitrator on the original tribunal?

Before the initial procedural order After the initial procedural order After an award on jurisdiction or admissibility After the merits hearing

At what point was Co-Arbitrator 1 appointed to replace an arbitrator on the original tribunal?

Before the initial procedural order After the initial procedural order After an award on jurisdiction or admissibility After the merits hearing

At what point was Co-Arbitrator 2 appointed to replace an arbitrator on the original tribunal?

Before the initial procedural order After the initial procedural order After an award on jurisdiction or admissibility After the merits hearing

Approximately how long did the challenge process take?

- 1 month
- 2-3 months
- 4-8 months
- 8-11 months
- 1 year
- 18 months or less
- more than 18 months

Jurisdiction & Admissibility

JURISDICTIONAL AND ADMISSIBILITY CHALLENGES

Did any party challenge the tribunal's jurisdiction or the admissibility of claims?

- Yes
- No

What type of jurisdictional or admissibility challenges were raised (please select all that apply)?

- Pathological or invalid arbitration clause
- Failure to fulfill a condition precedent
- Non-signatory issues
- Dispute outside the scope of the arbitration agreement
- Corruption alleged in the underlying contract or transaction
- Dispute involves matters not capable of settlement by arbitration
- Claim is untimely under applicable law
- Other (please specify):

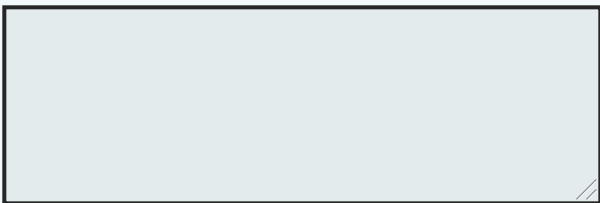
On the substance of the jurisdictional challenge, the tribunal ruled:

- No jurisdiction and dismissed the entire dispute
- Jurisdiction was not present over the non-signator(ies), but matter could go forward with other signator(ies)
- Jurisdiction was present over the entire dispute, including with regard to non-signator(ies)
- Jurisdiction was present over part of the dispute with regard to non-signator(ies). Please explain:



On the substance of the jurisdictional or admissibility challenge(s) regarding a pathological or invalid clause, the tribunal:

- Rejected the challenge in full and upheld jurisdiction over the entire dispute
- Accepted the challenge in full and dismissed the entire arbitration
- Partially accepted the challenge, upholding jurisdiction over part of the dispute. Please explain:



On the substance of the jurisdictional or admissibility challenge(s) regarding an alleged failure of a condition precedent, the tribunal:

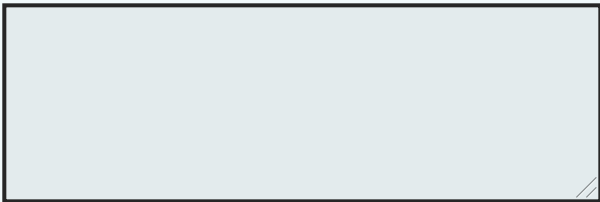
- Rejected the challenge in full and upheld jurisdiction over the entire dispute
- Accepted the challenge in full and dismissed the entire arbitration

- Partially accepted the challenge, upholding jurisdiction over part of the dispute. Please explain:



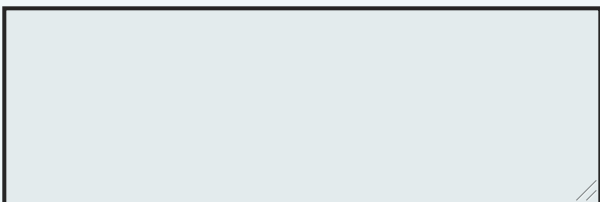
On the substance of the jurisdictional or admissibility challenge(s) regarding the scope of the arbitration agreement, the tribunal:

- Rejected the challenge in full and upheld jurisdiction over the entire dispute
- Accepted the challenge in full and dismissed the entire arbitration
- Partially accepted the challenge, upholding jurisdiction over part of the dispute. Please explain:



On the substance of the jurisdictional or admissibility challenge(s) regarding alleged corruption, the tribunal:

- Rejected the challenge in full and upheld jurisdiction over the entire dispute
- Accepted the challenge in full and dismissed the entire arbitration
- Partially accepted the challenge, upholding jurisdiction over part of the dispute. Please explain:



On the substance of the jurisdictional or admissibility challenge(s) regarding the alleged non-arbitrability of a claim or claims, the tribunal:

- Rejected the challenge in full and upheld jurisdiction over the entire dispute
- Accepted the challenge in full and dismissed the entire arbitration
- Partially accepted the challenge, upholding jurisdiction over part of the dispute. Please explain:

On the substance of the jurisdictional or admissibility challenge(s) regarding an allegation that a claim or claims is/are untimely, the tribunal:

- Rejected the challenge in full and upheld jurisdiction over the entire dispute
- Accepted the challenge in full and dismissed the entire arbitration
- Partially accepted the challenge, upholding jurisdiction over part of the dispute. Please explain:

Please indicate how the tribunal ruled on the challenge:

- Rejected the challenge in full and upheld jurisdiction over the entire dispute
- Accepted the challenge in full and dismissed the entire arbitration
- Partially accepted the challenge, upholding jurisdiction over part of the dispute. Please explain:

Tribunal Secretary

TRIBUNAL SECRETARY OR ASSISTANT

Did the tribunal appoint a secretary or assistant in the arbitration (if **only** the institution appointed a secretary, please answer "no")?

- Yes
 No

Please provide the name(s) of tribunal secretary or assistant (optional):

Which of the following is true about appointment of the tribunal secretary or assistant (please select all that apply)?

- The tribunal solicited party input before appointing the secretary or assistant
- The tribunal announced the appointment of the secretary or assistant early in the proceedings
- The tribunal explained clearly the role of the secretary or assistant in the proceedings and in drafting the award
- The tribunal secretary or assistant appeared to contribute to the orderly functioning of the proceedings
- The tribunal secretary or assistant did not appear to serve a significant role during the proceedings
- The tribunal secretary or assistant engaged in functions that I/my client believe were inappropriate

Please provide any additional comments regarding the appointment and role of the tribunal secretary or assistant (optional):

Interim Relief

INTERIM MEASURES

Were interim measures granted by the tribunal?

- No, none of the parties requested interim measures
- No, Claimant's request was denied
- No, Respondent's request was denied
- Yes, Claimant's request was granted
- Yes, Respondent's request was granted
- Yes, both parties' requests were granted
- The tribunal ordered interim measures on its own initiative

What type of interim measures were granted? Please select all that apply.

- Security for costs
- Preservation of assets, resources, or rights

- Preservation of evidence
- Protection of intellectual property
- Protection of confidential information
- Other (please specify):

What type of interim measures were ordered by the tribunal? Please select all that apply.

- Security for costs
- Preservation of assets, resources, or rights
- Preservation of evidence
- Protection of intellectual property
- Protection of confidential information
- Other (please specify):

What type of interim measures were requested by the Respondent and denied by the tribunal? Please select all that apply.

- Security for costs
- Preservation of assets, resources, or rights
- Preservation of evidence
- Protection of intellectual property
- Protection of confidential information
- Other (please specify):

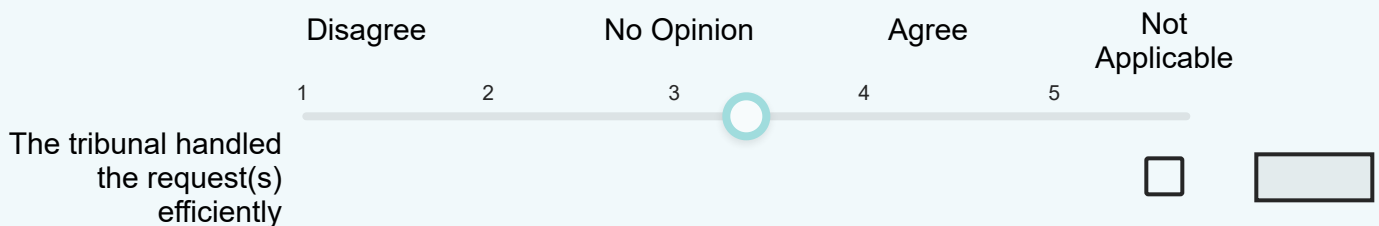
What type of interim measures were requested by the Claimant and denied by the tribunal?
Please select all that apply.

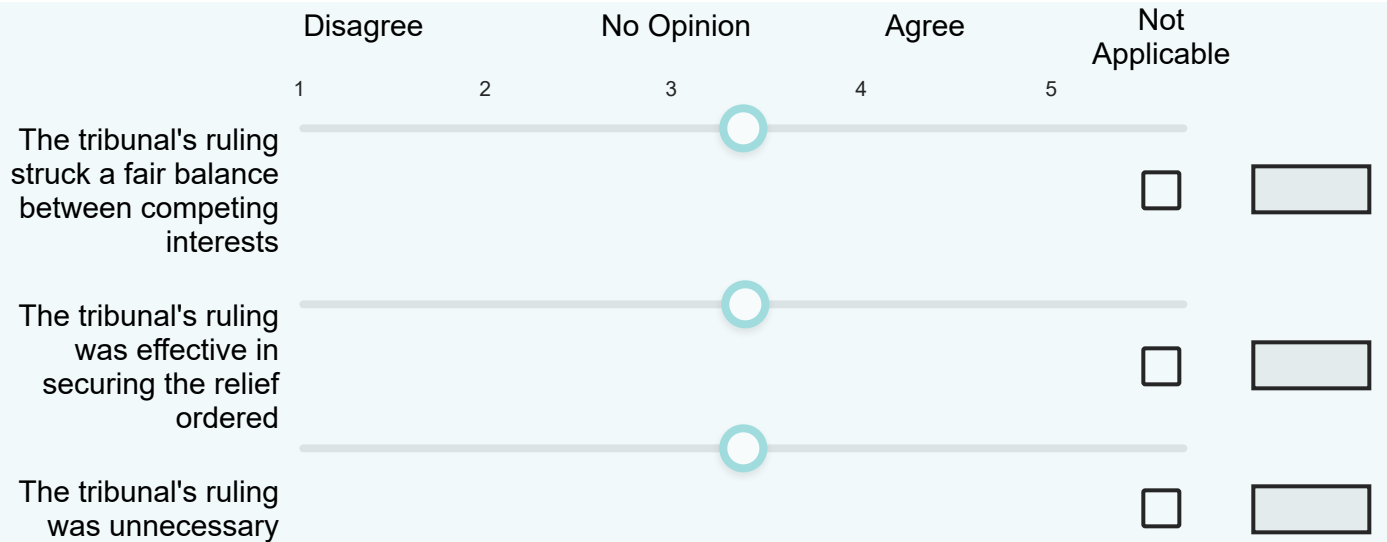
- Security for costs
- Preservation of assets, resources, or rights
- Preservation of evidence
- Protection of intellectual property
- Protection of confidential information
- Other (please specify):

Which of the following best describes the reasons the tribunal denied request(s) for interim relief? Please select all that apply.

- Alleged harm could be monetarily compensated
- Party seeking relief could not demonstrate likelihood of success on the merits
- Party against whom relief was sought would suffer non-compensable harm
- Relief sought was not necessary to preserve the status quo
- Request considered inadmissible
- Other (please specify):

In your professional judgment, with regard to each of the following, how would you assess the tribunal's interim relief ruling(s):





Case Management

CASE MANAGEMENT & PROCEDURAL RULINGS

Did the parties request or did the tribunal order on its own initiative any of the following procedures? Please select all that apply.

	Requested by Claimant	Requested by Respondent	Tribunal ordered on its own initiative
Bifurcation of proceedings	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Early resolution of particular issues	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Early-neutral evaluation of the dispute or parties' positions	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Early identification by parties of issues, arguments, and documents on which they intended to rely	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Referral of the parties to mediation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Virtual or remote hearings	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

	Requested by Claimant	Requested by Respondent	Tribunal ordered on its own initiative
Fast Track	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Redfern schedule	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
Other significant case management orders (please describe): <div style="border: 1px solid black; height: 80px; width: 100%;"></div>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

Which of the following best describes the tribunal's ruling on bifurcation?

- Granted in full
- Denied in full
- Granted in part/denied in part. Please explain.

Which of the following best describes the tribunal's handling of early resolution of particular issues?

- The tribunal disposed of particular issues effectively
- The tribunal's early ruling on particular issues was ineffective or unhelpful
- The tribunal declined to make an early ruling on particular issues

Other (please explain)

Which of the following best describes the tribunal's handling of early neutral evaluation of the dispute or parties' positions?

- The tribunal's early neutral evaluation was effective
- The tribunal's early neutral evaluation was ineffective or unhelpful
- The tribunal declined to engage in any early neutral evaluation
- Other (please explain)

Which of the following best describes the tribunal's order for parties to identify early issues, arguments or documents?

- The tribunal's order was effective
- The tribunal's order was ineffective or unhelpful
- The tribunal declined to make any such order
- Other (please explain)

Which of the following statements best describes how the tribunal decided on procedural issues?

- The arbitral chairperson appeared to rule alone on **all or most** procedural issues
- The chairperson appeared to take a **leading role** in ruling on procedural issues, but usually **in consultation** with the co-arbitrators
- The **full tribunal** appeared to make **collective rulings** on all or most procedural issues
- Other (please explain)

Did the tribunal, in the absence of a request from the parties, encourage or facilitate settlement or mediation of the dispute?

- Yes
- No

How did the tribunal encourage or facilitate settlement or mediation? Please indicate all that apply.

- Verbal suggestion by the tribunal
- Proposed order or request for written comment by the tribunal
- Settlement or mediation activities initiated by the tribunal
- Other (please explain)

In your professional judgment, which of the following statement(s) do you believe are most accurate? Please select all that apply.

- The tribunal's procedural rulings generally promoted the fairness of the proceedings
- The tribunal's procedural rulings generally undermined the fairness of the proceedings
- The tribunal's procedural rulings generally promoted the efficiency of the proceedings
- The tribunal's procedural rulings generally undermined the efficiency of the proceedings

Please provide any additional comments regarding the tribunal's case management and procedural rulings (optional).

Information Exchange

INFORMATION EXCHANGE

Was the tribunal requested to order document production?

- Yes, only ONE ROUND
- Yes, MULTIPLE ROUNDS requested
- No document production was requested
- The parties voluntarily agreed to exchange documents

Other (please explain)

Which party or parties requested document production?

- Both parties requested
- Only Claimant requested
- Only Respondent requested
- Other:

Which of the following best describe(s) any order(s) by the tribunal regarding the FIRST (OR ONLY) round document production?

- Both parties were ordered to produce all documents
- Claimant was ordered to produce all documents
- Respondent was ordered to produce all documents
- Claimant was ordered to produce some but not all documents
- Respondent was ordered to produce some but not all documents
- Both parties were ordered to produce some but not all documents
- The tribunal denied the request(s) for document production
- Other:

Which of the following best describe(s) any order(s) by the tribunal regarding the FIRST (OR ONLY) round document production?

- Respondent was ordered to produce all documents
- Respondent was ordered to produce some but not all documents
- The tribunal denied the request(s) for document production
- Other:

Which of the following best describe(s) any order(s) by the tribunal regarding the first (or only) round document production?

- Claimant was ordered to produce all documents
- Claimant was ordered to produce some but not all documents
- The tribunal denied the request(s) for document production
- Other:

Which of the following describe(s) the standard used by the tribunal in ordering the first (or only) round of document production? Please select all that apply.

- Limited number of individually identified documents
- Narrow and specific category[ies] of documents
- E-discovery
- Broad categories of documents
- Other (please explain)

Which of the following best explains why the tribunal denied the initial document production request(s)? Please select all that apply.

- Request was too broad
- Documents not relevant or material
- Documents not specifically identified
- Request deemed untimely
- Documents subject to privilege
- No explanation
- Please provide any additional comments you have regarding the denial:

Which of the following describe(s) subsequent round(s) of document production? Please select all that apply.

- Subsequent request(s) based on newly discovered facts
- Subsequent request(s) based on new/changed issues in dispute
- Subsequent request(s) based on failure to produce documents originally requested
- No justification for subsequent request
- Other (please specify)

Which of the following best describe(s) any order(s) by the tribunal regarding document requests AFTER THE INITIAL ROUND of document production?

- Both parties were ordered to produce all documents

- Claimant was ordered to produce all documents
- Respondent was ordered to produce all documents
- Claimant was ordered to produce some but not all documents
- Respondent was ordered to produce some but not all documents
- Both parties were ordered to produce some but not all documents
- The tribunal denied all subsequent request(s) for document production
- Other:

Which of the following best explains why the tribunal denied subsequent document production request(s) made AFTER THE INITIAL round? Please select all that apply.

- Request untimely/should have been made initially
- Request was too broad
- Documents not relevant or material
- Documents not specifically identified
- Documents subject to privilege
- No explanation
- Please provide any additional comments you have regarding the denial:

In ruling on document production requests, did the tribunal expressly rely on an established body of rules, guidelines, or other soft law? Please select all that apply.

- IBA Rules on the Taking of Evidence in International Arbitration
- The Prague Rules
- IBA Guidelines on Party Representation in International Arbitration

CI Arb Protocols or Guidelines (please specify):

Spanish Arbitration Club Best Practices

Other:

In your professional judgment, which of the following best describe(s) the extent of the document production ordered by the tribunal in this case?

Too extensive

Just right

Too restrictive

Please provide any additional comments on information exchange:

Corruption

ALLEGATIONS OF CORRUPTION

Were allegations of corruption raised?

- Yes
- No

Which of the following is accurate regarding the allegations of corruption? Please select all that apply.

- Alleged with respect to the arbitration clause
- Alleged with respect to jurisdiction or admissibility
- Alleged as the basis for claims
- Alleged as a defense against claims
- Alleged as a defense against counterclaims
- Alleged regarding the arbitrators
- Alleged regarding the arbitral proceedings
- Other:

Which of the following best describes the tribunal's treatment of the standard of proof for allegations of corruption?

- No express delineation of the standard of proof
- Higher burden of proof than for other claims or defenses
- More relaxed burden of proof than for other claims or defenses
- Same burden of proof as for other claims or defenses

Other:

Which of the following best describes the tribunal's ruling(s) on document production or other evidentiary requests that pertained to allegations of corruption?

- No corruption-related document production or evidentiary requests
- Requests granted
- Requests denied
- Requests granted in part/denied in part
- Investigative action initiated by tribunal
- Other (please explain)

Hearings

CONDUCT OF HEARINGS

Did the arbitration involve oral hearings and, if so, approximately how long were the hearings?

- No, there were no oral hearings

Yes, there were oral hearings (please indicate number of hearing days):

Were any hearings held online or remotely?

- Yes
- No
- Some or all procedural hearings were online, but live-witness hearings were in person
- Other (please specify):

Which of the following is true with respect to consent to online or remote hearings?

- Both parties agreed to remote hearings
- Remote hearings were held over objections from the Claimant
- Remote hearings were held over objections from the Respondent
- Other (please explain):

Which of the following is true regarding hearings?

- Neither party requested remote hearings
- Remote hearings were not held despite a request from the Claimant
- Remote hearings were not held despite a request from the Respondent

- Remote hearings were not held at the discretion of the tribunal, despite agreement by the parties
- Other (please explain):

Which of the following accurately describe(s) the length of the hearing(s)? Please select all that apply.

- Appropriate for the case
- Too short because request for more time was denied
- Too short because of scheduling problems
- Too short because of delays from technical issues
- Inadequate because time was wasted
- Additional comments regarding length or conduct of hearings:

Did the opposing party or representatives engage in conduct during the arbitration that you consider improper, unethical, or intentionally disruptive?

- Yes
- No

Please provide a brief, general description of the nature of the allegedly improper conduct (optional). ***Do not indicate the names of any individual lawyers, law firms, or representatives.***

Which of the following best describe(s) the tribunal’s response to the allegedly improper conduct (please select all that apply)?

- The tribunal declined to address directly allegations of improper conduct
- The tribunal issued general admonitions to dissuade further instances of allegedly improper conduct
- The tribunal made specific findings regarding the allegedly improper conduct
- The tribunal issued effective procedural rulings to prevent continuation of allegedly improper conduct
- The tribunal expressly referenced allegedly improper conduct in making a final determination on the merits or allocation of costs
- Other (please specify):

Other comments regarding the tribunal’s response to the allegedly improper conduct (optional):

QUESTIONS FROM ARBITRATORS

Which of the following describe(s) the questions posed by the arbitrator during the hearing(s)? Please select all that apply.

- No questions were asked
- Questions demonstrated familiarity with the record
- Questions helped clarify important points
- Questions were fair and respectful
- Questions demonstrated careful listening in hearings
- Questions were leading or implied a particular response
- Questions were not clearly articulated
- No opinion

If there are any special circumstances that affect your answers to the questions regarding hearings, please explain:

Please indicate the date of the close of the proceedings (if available). "Close of the proceedings" refers to when the parties' submissions were completed, either the final day of last hearing or the date when the last post-hearing brief was submitted, whichever is later.

Month

Day

Year

Please Select:

The Award

THE AWARD

Which of the following best describes the final award?

- The award resolved the case on issues of jurisdiction or admissibility
- The award resolved the case on the merits

In your professional judgment, how would you assess the final outcome of this case in light of your or your client's original expectations?

- More favorable than expected
- Approximately as expected
- Less favorable than expected

Please indicate the date the award was signed by the tribunal:

	Month	Day	Year
Please Select:	<input type="text" value="v"/>	<input type="text" value="v"/>	<input type="text" value="v"/>

Was the duration of deliberations and award drafting reasonable given the record and complexity of the case?

- Yes, it was reasonable in light of the complexity of the factual issues
- Yes, it was reasonable in light of the complexity of the legal issues
- No, it was not reasonable in light of the complexity of the factual issues

No, it was not reasonable in light of the complexity of the legal issues

To the best of your knowledge, could any of the following events or circumstances have delayed the rendering of the award? Please select all that apply.

Force majeure

Unavailability of an arbitrator (please identify individual arbitrator, if you know):

Substantive disagreements among the arbitrators

Post-hearing developments or motions by parties

Issuance of a separate or dissenting award

Other (please specify):

Please indicate in US dollars the approximate amount, excluding costs and fees, of any monetary relief granted to the Claimant:

No monetary relief

Less than US\$1 million

More than US\$1 million but less than \$10 million

More than US\$10 million but less than \$50 million

More than US\$ 50 million but less than \$500

More than \$500 million but less than \$1billion

More than \$1 billion

Please indicate any non-monetary relief granted

With respect to monetary recovery awarded, which of the following best describes the tribunal's quantification of those amounts?

- Calculated as of the date of the harm (i.e., date of the breach of contract or expropriation)
- Calculated as of the date of the award
- Calculation method not specified or uncertain
- Other:

Please indicate in US dollars the approximate amount, excluding costs and fees, of any monetary relief granted to the Respondent:

- No monetary relief granted
- Less than US\$1 million
- More than US\$1 million but less than \$10 million
- More than US\$10 million but less than \$50 million
- More than US\$ 50 million but less than \$500
- More than \$500 million but less than \$1billion
- More than \$1 billion
- Please indicate any non-monetary relief granted

Did the dispute involve any of the following issues (please select all that apply)?

- Issues of contract interpretation

- Issues of statutory interpretation
- Issues of treaty interpretation
- Issues of trade usages

In your professional judgment, which of the following describe(s) the tribunal's contract interpretation (please select all that apply)?

- The award reflects a plain meaning analysis of the specific words of the contract
- The award considers the negotiation and drafting history of the contract
- The award relies primarily on prior arbitral awards
- The award reflects a flexible interpretation of the specific words of the contract in order to give the contract its common sense or commercial sense meaning
- The award reflects a flexible interpretation of the specific words of the contract in order to achieve fairness and equity in the outcome of the dispute
- Other (please specify):

In your professional judgment, which of the following describe(s) the tribunal's statutory interpretation? Please select all that apply.

- The award reflects a plain meaning analysis of the specific words of the statute
- The award considers the drafting or legislative history of the statute
- The award relies primarily on prior arbitral awards
- The award reflects a flexible interpretation of the specific words of the statute in order to give the statute its common sense meaning
- The award reflects a flexible interpretation of the specific words of the statute in order to achieve fairness and equity in the outcome of the dispute
- Other (please specify):

In your professional judgment, which of the following describe(s) the tribunal's treaty interpretation? Please select all that apply.

- The award reflects a plain meaning analysis of the specific words of the treaty
- The award considers the drafting or legislative history of the treaty
- The award relies primarily on prior arbitral awards or court decisions
- The award reflects a flexible interpretation of the specific words of the treaty in order to give the treaty its common sense meaning
- The award reflects a flexible interpretation to specific words of the treaty in order to achieve fairness and equity in the outcome of the dispute
- Other (please specify):

In your professional judgment, which of the following describe(s) the tribunal's interpretation based on trade usages? Please select all that apply.

- The existence or content of a trade usage was decisive in the award's determination of one or more legal issues
- The award reflects a clear understanding of applicable trade usages
- The award demonstrates a confused or inaccurate understanding of applicable trade usages
- The award gives appropriate weight to applicable trade usages
- The award refers to trade usages that were not raised by the parties
- The award refers to evidence submitted by a party as to the existence and content of applicable trade usages
- The award is based on the tribunal's own knowledge of applicable trade usages
- The award uses trade usages to fill a gap in the contract

- Other (please specify):

In your professional judgment, which of the following describe(s) the tribunal's analysis of issues related to and calculation of damages (please select all that apply)?

- The evidentiary BURDEN TO PROVE the amount of damages was clearly imposed on the party/parties seeking damages
- The award provides the CALCULATIONS used to determine the amount of damages
- The calculation of damages takes into account DAMAGES EXPERTS' EVIDENCE
- The amount of damages appears to be more of an ESTIMATE than a calculation using specific figures
- The amount of damages appears to be based on a COMPROMISE between the parties' positions

In your professional judgment, which of the following describe(s) your overall reaction to the award? Please select all that apply.

- The award presented a balanced evaluation of the parties' arguments
- The award was well reasoned
- The award was persuasively written
- The final disposition was unexpected
- The award failed to address all issues raised by the parties
- The award contained insufficient reasoning to justify the outcome
- The award contained typos or clerical errors

Please provide any additional comments regarding the reasoning of the award (optional):

Separate opinions

If any separate or dissenting opinion was rendered, please indicate which arbitrator(s) authored the opinion(s). Please select all that apply.

- Presiding Arbitrator
- Co-Arbitrator 1
- Co-Arbitrator 2
- No separate or dissenting opinion(s) were rendered

Which of the following best describes the separate or dissenting opinion by the Presiding Arbitrator/Co-Arbitrator 1/Co-Arbitrator 2? Please select all that apply.

- The separate opinion disagreed with the final substantive outcome
- The separate opinion concurred with the final substantive outcome, but argued it should have been reached on different grounds
- The separate opinion concurred with the final substantive outcome, but added or clarified some issues
- The separate opinion concurred with the final substantive outcome, but disagreed about the amount of damages
- The separate opinion concurred with the final substantive outcome, but disagreed about the award of costs and/or fees

INTEREST RATES

Did the tribunal award interest? Please check all that apply (i.e., if the tribunal ordered both pre- and post-award interest).

- Yes, pre-award interest was awarded
- Yes, post-award interest was awarded
- No, no interest was awarded

Please indicate the rate of pre-award interest:

Please indicate the rate of post-award interest:

What was the basis for pre-award interest?

- Inter-bank rate (LIBOR)
- Risk free rate
- Cost of debt
- Bank deposit rate
- Cost of capital
- Rate imposed by applicable law
- Party agreement
- Uncertain or not specified
- Other (please specify):

What was the basis for post-award interest?

- Inter-bank rate (LIBOR)
- Risk free rate
- Cost of debt
- Bank deposit rate
- Cost of capital
- Rate imposed by applicable law
- Party agreement
- Uncertain or not specified
- Other (please specify):

The pre-award interest was:

- Simple
- Compound
- Uncertain or not specified

The post-award interest was:

- Simple
- Compound
- Uncertain or not specified

What was the frequency of compounding of pre-award interest?

- Daily
- Monthly
- Quarterly
- Annually
- Uncertain or not specified
- Other (please specify):

What was the frequency of compounding of post-award interest?

- Daily
- Monthly

- Quarterly
- Annually
- Uncertain or not specified
- Other (please specify):

Costs & Fees (moved)

ALLOCATION OF COSTS & FEES

Excluding lawyers' fees, which of the following describe(s) the award of arbitration costs (i.e., arbitrator fees and institutional administrative fees)?

- All costs awarded to (in favor of) the Claimant
- All costs awarded to (in favor of) the Respondent/Counterclaimant
- Award of costs allocated evenly (50% each) between the parties
- Award of costs otherwise adjusted

In allocating costs (excluding lawyers' fees), what percentage did the tribunal award to Claimant and to Respondent?



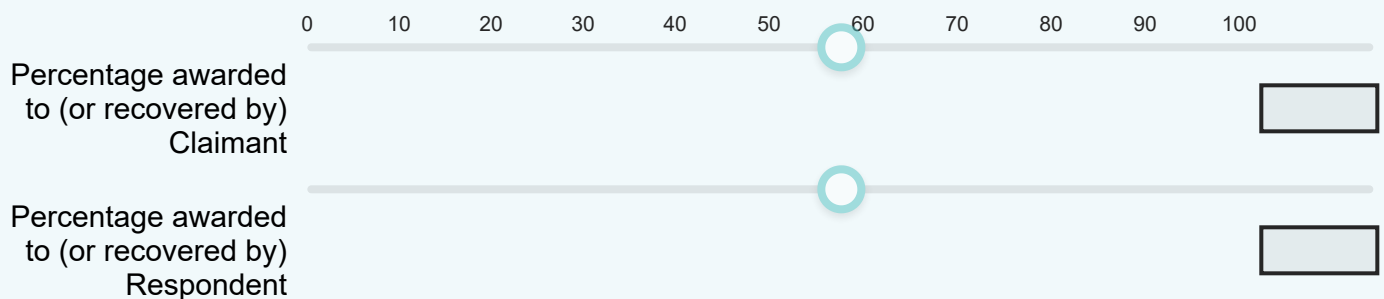
Which of the following best describe(s) the rationale for the award of arbitration costs (i.e., arbitrator fees and institutional administrative fees) indicated above? Please select all that apply.

- The tribunal awarded costs in accordance with the parties' agreement
- The tribunal allocated costs based on an assessment of the relative merit of each parties' arguments (if indicated)
- The tribunal allocated costs to take account of other considerations, such as alleged misconduct during the proceedings (if indicated)
- Other (please specify):

Which of the following describe(s) the tribunal's award of lawyers' fees? Please limit your answer to lawyers' fees (not the costs for arbitrators or institutions).

- The tribunal left each party to bear its own lawyers' fees
- The tribunal ordered the Respondent to pay the Claimant's lawyers' fees
- The tribunal ordered the Claimant to pay the Respondent's lawyers' fees
- The tribunal allocated lawyers' fees as between the parties

In allocating lawyers' fees between the parties, what percentage did the tribunal award to Claimant and to Respondent?



Which of the following best describe(s) the rationale for the award of arbitration lawyers' fees indicated above? Please select all that apply.

- The tribunal awarded lawyers' fees in accordance with the parties' agreement
- The tribunal awarded lawyers' fees based on an assessment of the relative merit of each party's arguments (if indicated)
- The tribunal awarded lawyers' fees to take account of other considerations, such as alleged misconduct during the proceedings (if indicated)
- Other (please specify):

Are there any special circumstances in this case that affect your answers to the questions above? If so, please explain:

CONCLUDING OBSERVATIONS

I would feel comfortable having Presiding Arbitrator/Co-Arbitrator 1/Co-Arbitrator 2 as the sole arbitrator in a future unrelated case.

Strongly Disagree

Disagree

Neither agree nor disagree

Agree

Strongly agree

If there are any special circumstances in this case or regarding the arbitrator(s) that affect your assessment of the arbitrator(s), please explain:

Goodbye

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